



Baltic Exchange KYC Platform **Key Features**

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Key Features of the Baltic Exchange KYC Platform

To help support informed decision-making and meet any regulatory obligations, the Baltic Exchange KYC Platform provides an enhanced due diligence approach with the following capabilities:

User-friendly mechanism

The Baltic Exchange KYC Platform is led by principles of simplicity, clarity and accessibility

24-7-365 Scan ability

Registered users are able to access the platform and perform company checks and due diligence scans anytime and anywhere with internet access

Accurate and reliable information

Powered by both Moody's Orbis for Compliance and GRID databases, the platform collects data from multiple trusted sources, including a continuously updated risk data archive

Cost Efficiency

Buy credits for searches and scans as and when needed without having to commit to a costly, long-term contract or minimum level of usage

Ease of use

Pre-scan ability to streamline results; receive information about your selected entity or person only

Ownership, Management, Directors and Shareholders

Running a company check will provide all of these details enabling a due diligence check on these individuals. It may also provide information on auditors, bankers and advisors as well as any subsidiaries

Sanctions checks

Within seconds, users can check over 800 sanction lists, including OFAC (Office of Financial Assets Control, USA), OFSI (Office of Financial Sanctions Implementation, UK) and the EU Consolidated List

Real-time monitoring

Users benefit from real time alerts via email and in the form of a notification on the platform itself if the option to continue monitoring for 12 months is also chosen. This will help users stay compliant even after the onboarding stage, able to stay aware of any changes in the monitored entity's status

Ability to perform batch searches

Users can quickly search millions of current and historical entities to obtain relevant information and monitor if required. Simply upload a spreadsheet of your list of entities to search, or add new scans by directly entering names and addresses as accurately as possible

Adverse media screenings

Users are able to uncover threads showing an entity's involvement in alleged illegal activities and outstanding legal claims that can pose reputational, financial, and regulatory risks to their business

Legal Events Information

Information related to when company accounts are filed to the relevant registry, or a change of officers, notices about overdue annual accounts or confirmation statements are also available

Financial Information

The financial position of an entity is crucial when performing due diligence. It can also help determine how well established an entity is

Political Links Checks

Importantly, the platform can provide information on possible international PEPs (politically exposed persons) related to the entity in question. If there are associated PEPs the user will be alerted of the increased risk, and users will be able to decide whether their own further enhanced investigation is required



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Exchange**